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PATENT Docket No. H 5115 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE: Stephan, et al.

International Application No.: PCT/EP02/00826

International Application Filing Date: January 26, 2002

Serial No.: To be assigned Filing Date: To be assigned

Title: ELECTROCHEMICAL PRODUCTION OF NANOSCALE METAL

(MIXED) OXIDES

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56 and 1.97(b) applicants disclose to the Examiner the documents listed on the attached IDC form PTO-1449; a copy of each document is enclosed. Applicants respectfully ask the Examiner to consider each document and note that consideration where provided on the IDC. The relevance of the non-English references is explained in the specification.

This Statement does not represent that a search was made or that no better art exists and does not constitute an admission that the listed documents are material or constitute prior art. Therefore applicants reserve the right to present to the Examiner the relevant facts and law regarding the status of each listed document. Applicants further reserve the right to take appropriate action to establish patentability of the claimed invention over the listed documents, should any be applied against the claims.

This statement is being filed within three months of the filing of this application, and therefore no fee for submitting this IDS is believed to be due. However, the Assistant

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Commissioner is hereby authorized to charge Deposit Account No. 01-1250 if any fee under 37 C.F.R. 1.17 is needed to have this statement entered and the references considered by the Patent and Trademark Office.

Respectfully submitted,

Stephen D. Harper (Reg. No. 33,243)

Attorney for Applicants

(610) 278-4927

Henkel Corporation
Patent Law Department
2500 Renaissance Blvd. - Suite 200
Gulph Mills, PA 19406
lmd

Enclosures

- 1. IDC w/references
- 2. International Search Report

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

Substitute for form 1449A/PTO INFORMATION DISCLOSURE				Complete if Known		
				Application Number	To be assigned	
				Filing Date	To be assigned	
STATEMENT BY APPLICANT		First Named Inventor	Stephan et al.			
				Art Unit	To be assigned	
(use as many sheets as necessary)			necessary)	Examiner Name	To be assigned	
Sheet	1	of	1	Attorney Docket Number	H 5115 PCT/US	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,286,354	02/15/1994	Bard et al.	
		US-5,116,468	05/26/1992	Giersberg et al.	
	<u> </u>	US-4,067,788	01/10/1978	Solomon	
		US-5,620,584	04/15/1997	Reetz et al.	

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document	Publication Date MM-DD-YYYY	Country	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	П
Examiner Cite Initials* No.	Cite No. ¹	Country Code ³ -Number ⁴ – Kind Code ² (if known)				Τ ⁶
		DE 198 40 842 A1	03/09/2000	Germany		
		DE 44 43 392 A1	06/13/1996	Germany (US5620584)		
***************************************		EP 0 672 765 A1	03/04/1995	Europe (US5620584)		
		DE 44 08 512 A1	09/21/1995	Germany (US5620584)		

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue Number(s), publisher, city and/or country where published.	T ₂
		Zotti et al., "Anodic dissolution of titanium in acetonitrile to Ti(IV) perchlorate and subsequent reductive electrodeposition of amorphous Ti02 films", Journal of the Electrochemical Society, Database Accession No. EIX99224611552, XP002206852 (1999)	
		Pascal et al., "Electrochemical Synthesis for the control of y-Fe ₂ O ₃ Nanoparticle Size. Morphology, Microstructure, and Magnetic Behavior, Chem. Mater., Vol. 11, pgs. 141-147 (1999)	
		Nyffenegger et al., "A Hybrid Electrochemical/Chemical Synthesis of Zinc Oxide Nanoparticles and Optically Intrinsic Thin Films", Chem. Mater., Vol. 10, pgs. 1120-1129 (1998)	
		Reddy et al., "Preparation and Characterization of Cobalt Oxide Nanosized Particles Obtained By an Electrochemical Method", NanoStructured Materials, Vol. 12, pgs. 61-64 (1999)	
		Mahamuni et al, "Spectroscopic and Structural Characterization of Electrochemically Grown ZnO Quantum Dots", Journal of Applied Physics, Vol. 85, No. 5, pgs. 2861-2864 (1999)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

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